Appl. No. 10/023,843

Amdt. dated November 4, 2003

Reply to Office Action of October 21, 2003

Amendments to the Drawings:

The attached two sheets of drawings include changes to Figures 7 and 13. The first sheet,

which includes Figure 7 only, replaces the original sheet. The second sheet, which includes

Figures 12 and 13, replaces the original sheet including Figures 12 and 13. In Figure 7, an

erroneous use of numeral designator 38 has been correctly replaced with numeral designator 48.

In Figure 13, an erroneous use of numeral designators 71 and 73 and their associated leader lines

have been deleted.

Attachment: Replacement sheet for Figure 7

Annotated sheet for Figure 7 showing changes in red ink

Replacement sheet for Figures 12 and 13

Annotated sheet for Figures 12 and 13 showing changes in red ink

Favorable reconsideration of this application, as now presently amended, is respectfully

requested.

Claims 2-4, 6-8, 10-16, and 18-20 have been canceled. Claims 1, 5, 9, and 17 have been

amended. New Claim 21 has been added. Claims 1, 5, 9, 17, and 21 are active in the

application.

Applicant notes that the patent application having serial number 10/023,843 has been

assigned from Paracer, Inc., to Stratos Lightwave, Inc. Unlike the previous assignee, the current

assignee, Stratos Lightwave, Inc., is a non-small entity business concern. Applicant requests the

United States Patent and Trademark Office to take notice that the present assignee of the patent

application having serial number 10/023,843 is a non-small entity business concern.

Briefly, Applicant's invention is directed to a device for optically connecting at least two

optical fibers with at least two photoactive components. Methods and devices are known in the

art for optically coupling at least two optical fibers with at least two photoactive devices.

However, the known methods and devices are believed to be either relatively large, expensive,

and/or fragile.

In order to solve the above-identified problems, Applicants has invented a device which

is relatively inexpensive to produce, is relatively small, and is robust.

Claim 1 has been amended so as to generally include the features recited in originally

filed Claims 2, 3, and 4. The device as recited in amended Claim 1 is a lens array. The lens

array includes a plurality of asymmetric biconvex lenses. Each lens abuts each other lens and as

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such the lenses share common boundaries. Furthermore, each lens is truncated in the lateral

direction. Such an arrangement of the lenses provides for a compact device. Each lens has a

height and a width. The height is approximately 1.5 times the width. Such a geometry allows

for improved light gathering characteristics and improved tolerance to mechanical misalignments

affecting optical coupling efficiency as compared to smaller symmetrically shaped lenses.

Additionally, the lens array includes a common platform which includes alignment pins which

mate with alignment holes of an ferrule. The alignment pins are aligned with the plurality of

lenses. Finally, the device is made of molded plastic. Therefore, it is believed that the device is

both robust and inexpensive to manufacture and to later assemble with other components.

Claim 5 has been amended so as to generally include the features recited in originally

filed Claims 6, 7, and 8. The device recited in amended Claim 5 is an assembly for use in optical

communications. The assembly includes an optical ferrule, an optical transmitter, and a lens

platform. The lens platform includes a set of optical lenses. Each lens of the lens platform has a

height and a width. The height is approximately 1.5 times the width. The lens platform also

includes a set of alignment pins for mating with the alignment holes of the optical ferrule. The

lens platform is made of molded plastic. Therefore, Applicants believe that the assembly as

recited in amended Claim is small, robust, and inexpensive.

Claim 9 has been amended so as to generally include the features recited in originally

filed Claims 10, 11, and 12. The assembly recited in amended Claim 9 is similar to the assembly

recited in amended Claim 5 except that instead of the "optical transmitter" recited in amended

Claim 5, amended Claim 9 recites an "optical receiver."

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Claim 17 has been amended so as to generally include the features recited in originally filed Claims 18, 19, and 20. The apparatus recited in amended Claim 17 is a lens and alignment frame. The lens and alignment frame includes a planar base from which a tower structure is located. The tower includes a pair of elevated end sections. A set of alignment pins are mounted on the elevated end sections of the tower structure. An array of collinear lenses are part of the lens and alignment frame. Each lens of the array of collinear lenses has a height and a width. The height is approximately 1.5 times the width.

Claims 1, 3-6, 8, 9, and 10-16 were rejected under 35 U.S.C. §103(a) as being unpatentable over Japanese Patent Reference 5-88049 (Kobayashi, et al), and further in view of Hashizume.

Claims 2, 7, and 10 were rejected under 35 U.S.C. §103(a) as being unpatentable over Japanese Patent Reference 5-88049/U.S. Patent No. 6,547,455 (Kobayashi, et al/Hashizume), and further in view of Japanese Patent Reference 9-243867 (Ishida, et al).

Japanese patent reference 5-88049 (Kobayashi, et al.) was cited for disclosing "an optical module comprising a lens array (20) for use I focusing light between a set of photoactive components (81-1) and a set of optical fibers (31) comprising: a plurality of biconvex lenses (25) arrayed on the same glass plate 21." The examiner notes that "Kobayashi et al fails to teach lenses having greater height than width." However, Japanese patent reference 5-88049 lacks the claimed features of "collinearly and contiguously positioned" lenses and lenses which are "truncated in said lateral direction so that each lens of the plurality of asymmetric biconvex lenses has an extended boundary between adjacent lenses," and wherein "the height is approximately 1.5 times the width," as recited in amended Claim 1. Therefore, Japanese patent

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reference 5-88049 is not believed to anticipate or render obvious Applicants' invention as recited

in amended Claim 1.

Hashizume was cited for the limited teaching of providing an optical module having a

lens structure having a cylindrical shape wherein the height is greater than the width. Hashizume

does not disclose a plurality of lenses which are truncated and which have extended boundaries.

Thus, Hashizume lacks the claimed features of "collinearly and contiguously positioned" lenses

and lenses which are "truncated in said lateral direction so that each lens of the plurality of

asymmetric biconvex lenses has an extended boundary between adjacent lenses," and wherein

"the height is approximately 1.5 times the width," as recited in amended Claim 1. Therefore,

Hashizume is not believed to anticipate or render obvious Applicants' invention as recited in

amended Claim 1.

Hashizume was cited in combination with Japanese patent reference 5-88049 for

rendering obvious Applicants' claimed invention as recited in amended Claim 1. However, the

Hashizume reference does not overcome the shortcomings of Japanese patent reference 5-88049

in regard to amended Claim 1. Therefore, Applicants believe that the proposed combination of

references does not anticipate the invention recited in amended Claim 1.

Japanese patent reference 9-243867 (Ishida et al) was cited for the limited teaching of

providing guide holes and associated guide pins. However, Japanese patent reference 9-243867

does not disclose a plurality of congruous, truncated lenses which have extended boundaries.

Thus, Japanese patent reference 9-243867 lacks the claimed features of "collinearly and

contiguously positioned" lenses and lenses which are "truncated in said lateral direction so that

each lens of the plurality of asymmetric biconvex lenses has an extended boundary between

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adjacent lenses," and wherein "the height is approximately 1.5 times the width," as recited in amended Claim 1. Therefore, Japanese patent reference 9-243867 is not believed to anticipate or render obvious Applicants' invention as recited in amended Claim 1.

Japanese patent reference 9-243867 was cited in combination with Japanese patent reference 5-88049 and <u>Hashizume</u> for rendering obvious Applicants' claimed invention as recited in amended Claim 1. However, Japanese patent reference 9-243867 does not overcome the shortcomings of Japanese patent reference 5-88049 and <u>Hashizume</u> in regard to amended Claim 1. Therefore, Applicants believe that the proposed combination of references does not anticipate the invention recited in amended Claim 1.

Amended Claims 5, and 9 recite lenses which have a height to width ratio of 1.5:1, and that have extended boundaries. For the reasons stated above, Applicants believe that amended Claims 5, and 9 define over the prior art of record.

Claims 17-20 were rejected under 35 U.S.C. §103(a) as being unpatentable over Japanese Patent Reference 9-243867 (Ishida, et al), and further in view of Hashizume.

Japanese patent reference 9-243867, and <u>Hashizume</u> were discussed above.

As discussed above, amended Claim 17 generally includes the subject matter of Claims 18, 19, and 20. Amended Claim 17 recites an array of collinear lenses. Each lens has a height and a width. The height is approximately 1.5 times the width. As such the lenses are able to be placed closer together than symmetric lenses which would have a height to width ratio of 1:1. Thus, Applicants device is compact.

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The references of record fail to disclose a device having a height to width ratio of 1.5:1. Therefore, Applicants believe that the references of record do not anticipate or render obvious Applicants' claimed invention as recited in amended Claim 17.

New Claim 21 is directed towards an assembly for use in optical communications. The assembly as recited in new Claim 21 includes an optical ferrule, a plurality of photoactive components, and a lens and alignment frame. The optical ferrule includes twelve optical fibers, and the optical fibers are separated by an interval. The interval is substantially equal to 250 microns. The lens and alignment frame include a plurality of lenses. Each lens has a height and a width. The height is approximately 1.5 times the width. Each lens is truncated and each lens has a contiguous boundary with other lenses. Applicants believe that new Claim 21 defines over the prior art of record.

The attached two sheets of drawings include changes to Figures 7 and 13. The first sheet, which includes Figure 7 only, replaces the original sheet. The second sheet, which includes Figures 12 and 13, replaces the original sheet including Figures 12 and 13. In Figure 7, an erroneous use of numeral designator 38 has been correctly replaced with numeral designator 48. An annotated sheet is attached which shows the changes made to Figure 7 in red ink. In Figure 13, an erroneous use of numeral designators 71 and 73 and their associated leader lines have been deleted. An annotated sheet is attached which shows the changes made to Figure 13 in red ink.

Grammatical and clarify amendments have been made to the specification, and also to the claims.

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The above changes to the specification, drawings, and claims are self-evident from the original disclosure; thus, no new matter has been introduced, and no new issues have been raised.

In view of the foregoing comments, it is respectfully submitted that the claims are definite and in condition for allowance. An early and favorable action to that effect is therefore respectfully requested.

Respectfully submitted,

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service First-Class mail on this 4th

day of November, 2003, and is addressed to: Mail Stop Non-Fee Amendment

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Attachments: Replacement sheet for Figure 7

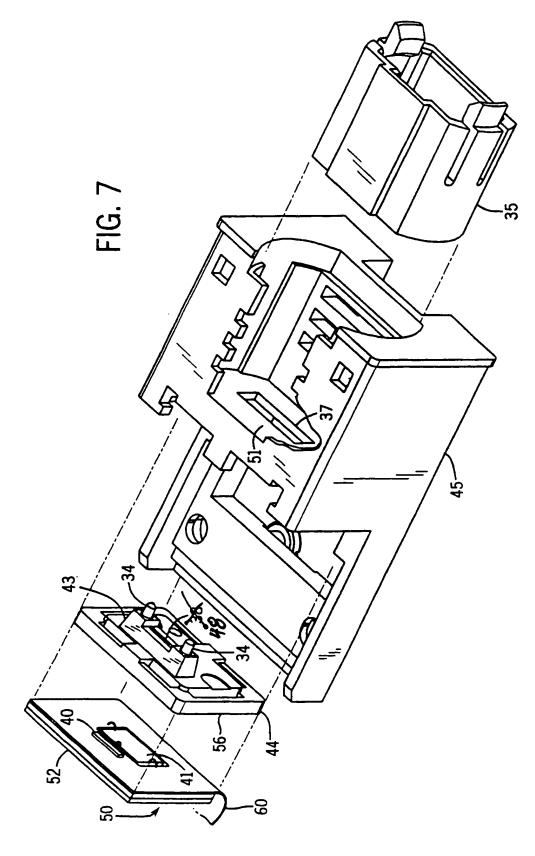
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Appl. No. 10/023,843 Amdt. Dated November 4, 2003 Reply to Office Action of October 21, 2003 Annotated Sheet Showing Changes





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